

A new concordance invariant of knots in sums of $S^2 \times S^1$

Miriam Kuzbary

Rice University

December 14, 2018

Preliminaries

- Two knots K and J inside S^3 are concordant if there is a smooth, properly embedded annulus in $S^3 \times [0, 1]$ whose boundary is $K \times \{0\} \sqcup J \times \{1\}$.

Preliminaries

- Two knots K and J inside S^3 are concordant if there is a smooth, properly embedded annulus in $S^3 \times [0, 1]$ whose boundary is $K \times \{0\} \sqcup J \times \{1\}$.
- A knot $K \subset S^3$ is slice if bounds a properly embedded disk in B^4 .

Preliminaries

- Two knots K and J inside S^3 are concordant if there is a smooth, properly embedded annulus in $S^3 \times [0, 1]$ whose boundary is $K \times \{0\} \sqcup J \times \{1\}$.
- A knot $K \subset S^3$ is slice if bounds a properly embedded disk in B^4 .
- Two n -component links L_1 and L_2 in S^3 are concordant if their components are concordant by n disjoint smooth annuli.

Preliminaries

- Two knots K and J inside S^3 are concordant if there is a smooth, properly embedded annulus in $S^3 \times [0, 1]$ whose boundary is $K \times \{0\} \sqcup J \times \{1\}$.
- A knot $K \subset S^3$ is slice if bounds a properly embedded disk in B^4 .
- Two n -component links L_1 and L_2 in S^3 are concordant if their components are concordant by n disjoint smooth annuli.
- An n -component link L in S^3 is slice if it bounds n smooth, disjoint, properly embedded disks in B^4 .

Preliminaries

- Two knots K and J inside S^3 are concordant if there is a smooth, properly embedded annulus in $S^3 \times [0, 1]$ whose boundary is $K \times \{0\} \sqcup J \times \{1\}$.
- A knot $K \subset S^3$ is slice if it bounds a properly embedded disk in B^4 .
- Two n -component links L_1 and L_2 in S^3 are concordant if their components are concordant by n disjoint smooth annuli.
- An n -component link L in S^3 is slice if it bounds n smooth, disjoint, properly embedded disks in B^4 .

Proposition

A knot (or link) $K \subset S^3$ is slice if and only if it is concordant to the unknot (or unlink).

When is a link trivial modulo concordance?

For oriented links $L \subset S^3$, linking number is one of the first tools we use to detect nontrivial links.

When is a link trivial modulo concordance?

For oriented links $L \subset S^3$, linking number is one of the first tools we use to detect nontrivial links.

Example



When is a link trivial modulo concordance?

For oriented links $L \subset S^3$, linking number is one of the first tools we use to detect nontrivial links.

Example



(a) $lk(K, J) = 1$

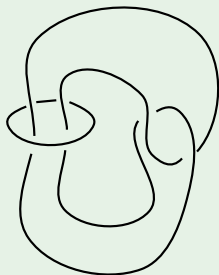
When is a link trivial modulo concordance?

For oriented links $L \subset S^3$, linking number is one of the first tools we use to detect nontrivial links.

Example



(a) $lk(K, J) = 1$



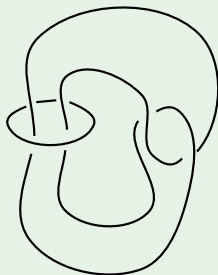
When is a link trivial modulo concordance?

For oriented links $L \subset S^3$, linking number is one of the first tools we use to detect nontrivial links.

Example



(a) $lk(K, J) = 1$



(b) $lk(K, J) = 0$ so ...?

Linking number in the context of groups

Fact:

If L is an n -component oriented link with L_i the 0-framed longitude of the i^{th} component of L and $G = \pi_1(S^3 \setminus \nu(L), *)$, then

$$[L_i] = \sum_{j=1}^n \text{lk}(L_i, L_j) \cdot x_j \in H_1(S^3 \setminus \nu(L)) = G/[G, G]$$

where x_j represents the j^{th} meridian.

Linking number in the context of groups

Fact:

If L is an n -component oriented link with L_i the 0-framed longitude of the i^{th} component of L and $G = \pi_1(S^3 \setminus \nu(L), *)$, then

$$[L_i] = \sum_{j=1}^n \text{lk}(L_i, L_j) \cdot x_j \in H_1(S^3 \setminus \nu(L)) = G/[G, G]$$

where x_j represents the j^{th} meridian.

Question:

What if you look at the image of this longitude in a different quotient of G ?

Linking number in the context of groups

Recall:

The lower central series of a group G is defined recursively by $G_1 = G$,
 $G_{n+1} = [G_n, G]$.

Linking number in the context of groups

Recall:

The lower central series of a group G is defined recursively by $G_1 = G$, $G_{n+1} = [G_n, G]$.

Theorem (Casson '75)

If L_1 and L_2 are concordant links whose groups are G and H , then G/G_q and H/H_q are isomorphic for all q .

Linking number in the context of groups

Recall:

The lower central series of a group G is defined recursively by $G_1 = G$, $G_{n+1} = [G_n, G]$.

Theorem (Casson '75)

If L_1 and L_2 are concordant links whose groups are G and H , then G/G_q and H/H_q are isomorphic for all q .

Motivating Idea:

Look at the image of a longitude L_i inside the quotient G/G_q !

Concordance data from the lower central series

Notice:

If $L \subset S^3$ is an n -component link, then $H_1(S^3 \setminus \nu(L)) = G/[G, G] = \mathbb{Z}^n$ and the n -component unlink has $\pi_1(S^3 \setminus \nu(U), *) \cong F(n)$.

Concordance data from the lower central series

Notice:

If $L \subset S^3$ is an n -component link, then $H_1(S^3 \setminus \nu(L)) = G/[G, G] = \mathbb{Z}^n$ and the n -component unlink has $\pi_1(S^3 \setminus \nu(U), *) \cong F(n)$.

To compute higher order linking numbers (called Milnor's invariants) back in '54:

Concordance data from the lower central series

Notice:

If $L \subset S^3$ is an n -component link, then $H_1(S^3 \setminus \nu(L)) = G/[G, G] = \mathbb{Z}^n$ and the n -component unlink has $\pi_1(S^3 \setminus \nu(U), *) \cong F(n)$.

To compute higher order linking numbers (called Milnor's invariants) back in '54:

- 1 Find clever presentation of G/G_q .

Concordance data from the lower central series

Notice:

If $L \subset S^3$ is an n -component link, then $H_1(S^3 \setminus \nu(L)) = G/[G, G] = \mathbb{Z}^n$ and the n -component unlink has $\pi_1(S^3 \setminus \nu(U), *) \cong F(n)$.

To compute higher order linking numbers (called Milnor's invariants) back in '54:

- 1 Find clever presentation of G/G_q .
- 2 Write i^{th} longitude modulo G_q as a word in meridians (one for each component).

Concordance data from the lower central series

Notice:

If $L \subset S^3$ is an n -component link, then $H_1(S^3 \setminus \nu(L)) = G/[G, G] = \mathbb{Z}^n$ and the n -component unlink has $\pi_1(S^3 \setminus \nu(U), *) \cong F(n)$.

To compute higher order linking numbers (called Milnor's invariants) back in '54:

- 1 Find clever presentation of G/G_q .
- 2 Write i^{th} longitude modulo G_q as a word in meridians (one for each component).
- 3 Use the Magnus embedding to map this word to a power series ring in n non-commuting variables and read off coefficients of degree $q - 1$ terms modulo coefficients of lower order terms.

Concordance data from the lower central series

Notice:

If $L \subset S^3$ is an n -component link, then $H_1(S^3 \setminus \nu(L)) = G/[G, G] = \mathbb{Z}^n$ and the n -component unlink has $\pi_1(S^3 \setminus \nu(U), *) \cong F(n)$.

To compute higher order linking numbers (called Milnor's invariants) back in '54:

- 1 Find clever presentation of G/G_q .
- 2 Write i^{th} longitude modulo G_q as a word in meridians (one for each component).
- 3 Use the Magnus embedding to map this word to a power series ring in n non-commuting variables and read off coefficients of degree $q - 1$ terms modulo coefficients of lower order terms.

Concordance data from the lower central series

Rough definition (Milnor '54)

The Milnor invariants of an n -component link $L \subset S^3$ with link group G are a set of integers

$$\bar{\mu}_L(I) \in \mathbb{Z}$$

with $I = (i_1 \dots i_k)$ and $i_j \in \{1, \dots, n\}$ detecting when G/G_q stops being isomorphic to F/F_q where F is the rank n free group.

Concordance data from the lower central series

Rough definition (Milnor '54)

The Milnor invariants of an n -component link $L \subset S^3$ with link group G are a set of integers

$$\bar{\mu}_L(I) \in \mathbb{Z}$$

with $I = (i_1 \dots i_k)$ and $i_j \in \{1, \dots, n\}$ detecting when G/G_q stops being isomorphic to F/F_q where F is the rank n free group.

- $\bar{\mu}_L(ij) = lk(L_j, L_i)$

Concordance data from the lower central series

Rough definition (Milnor '54)

The Milnor invariants of an n -component link $L \subset S^3$ with link group G are a set of integers

$$\bar{\mu}_L(I) \in \mathbb{Z}$$

with $I = (i_1 \dots i_k)$ and $i_j \in \{1, \dots, n\}$ detecting when G/G_q stops being isomorphic to F/F_q where F is the rank n free group.

- $\bar{\mu}_L(ij) = lk(L_j, L_i)$
- $\bar{\mu}_L(ijk) = \text{triple linking number}$



$$\bar{\mu}_L(ijk) = 1$$

Why are $\bar{\mu}$ -invariants useful?

- (Milnor '54) $\bar{\mu}_L(I)$ is a link homotopy invariant for each I with non-repeating indices.

Why are $\bar{\mu}$ -invariants useful?

- (Milnor '54) $\bar{\mu}_L(I)$ is a link homotopy invariant for each I with non-repeating indices.
- (Casson '75) $\bar{\mu}_L(I)$ is a link concordance invariant for each I .

Why are $\bar{\mu}$ -invariants useful?

- (Milnor '54) $\bar{\mu}_L(I)$ is a link homotopy invariant for each I with non-repeating indices.
- (Casson '75) $\bar{\mu}_L(I)$ is a link concordance invariant for each I .
- (Turaev '79, Porter '80) $\bar{\mu}_L(I)$ can be computed by evaluating Massey products in $H^1(S^3 \setminus \nu(L))$ on individual boundary components.

Why are $\bar{\mu}$ -invariants useful?

- (Milnor '54) $\bar{\mu}_L(I)$ is a link homotopy invariant for each I with non-repeating indices.
- (Casson '75) $\bar{\mu}_L(I)$ is a link concordance invariant for each I .
- (Turaev '79, Porter '80) $\bar{\mu}_L(I)$ can be computed by evaluating Massey products in $H^1(S^3 \setminus \nu(L))$ on individual boundary components.
- (Cochran '90) The first non-zero $\bar{\mu}_L(I)$ (and thus, the first q for which G/G_q is not isomorphic to F/F_q) can be computed using intersection theory.

Computing $\bar{\mu}_L(I)$

Example

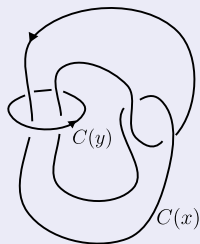
We can detect non-zero Milnor's invariants by intersecting surfaces and computing linking numbers of the intersection curves.

Computing $\bar{\mu}_L(I)$

Example

We can detect non-zero Milnor's invariants by intersecting surfaces and computing linking numbers of the intersection curves.

Build a surface system $(\mathcal{C}, \mathcal{V})$



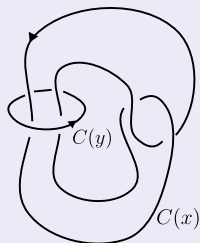
(a) $\{C(x), C(y)\} \in \mathcal{C}$

Computing $\bar{\mu}_L(I)$

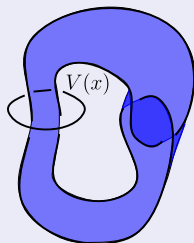
Example

We can detect non-zero Milnor's invariants by intersecting surfaces and computing linking numbers of the intersection curves.

Build a surface system $(\mathcal{C}, \mathcal{V})$



(a) $\{C(x), C(y)\} \in \mathcal{C}$



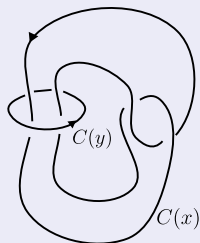
(b) $V(x) \in \mathcal{V}$

Computing $\bar{\mu}_L(I)$

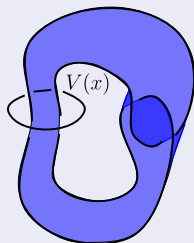
Example

We can detect non-zero Milnor's invariants by intersecting surfaces and computing linking numbers of the intersection curves.

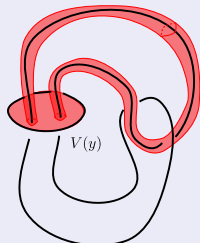
Build a surface system $(\mathcal{C}, \mathcal{V})$



(a) $\{C(x), C(y)\} \in \mathcal{C}$



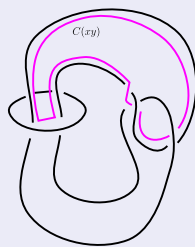
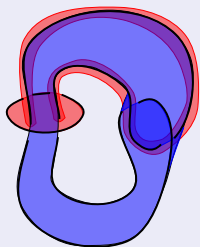
(b) $V(x) \in \mathcal{V}$



(c) $V(y) \in \mathcal{V}$

Computing $\bar{\mu}_L(I)$

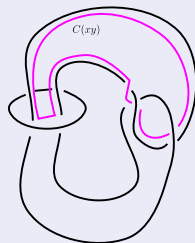
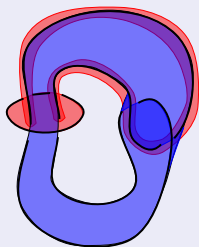
Throw intersection curves in \mathcal{C}



$V(x) \cap V(y)$ in a simple closed curve $c(xy)$.

Computing $\bar{\mu}_L(I)$

Throw intersection curves in \mathcal{C}



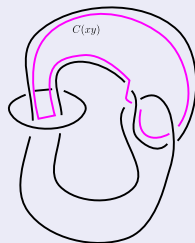
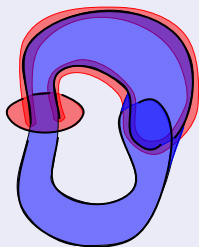
$V(x) \cap V(y)$ in a simple closed curve $c(xy)$.

Compute pairwise linking numbers of curves in \mathcal{C}

$$lk(C(xy), C^+(xy)) = -1$$

Computing $\bar{\mu}_L(I)$

Throw intersection curves in \mathcal{C}



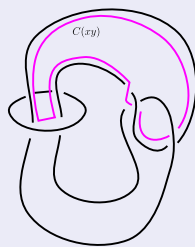
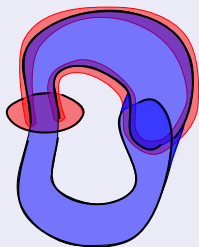
$V(x) \cap V(y)$ in a simple closed curve $c(xy)$.

Compute pairwise linking numbers of curves in \mathcal{C}

$lk(C(xy), C^+(xy)) = -1$ which indicates (by work of Cochran) that L has a nonzero $\bar{\mu}_L(I)$ of weight $|I| = 4$ (and thus G/G_5 is not isomorphic to F/F_5).

Computing $\bar{\mu}_L(I)$

Throw intersection curves in \mathcal{C}



$V(x) \cap V(y)$ in a simple closed curve $c(xy)$.

Compute pairwise linking numbers of curves in \mathcal{C}

$lk(C(xy), C^+(xy)) = -1$ which indicates (by work of Cochran) that L has a nonzero $\bar{\mu}_L(I)$ of weight $|I| = 4$ (and thus G/G_5 is not isomorphic to F/F_5). If all possible linkings are trivial, run the process again.

Is there a version of this linking data for knots or links in other 3-manifolds?

Question:

For a knot or link $L \subset M$ where M is an oriented 3-manifold, can we similarly extract concordance data from quotients of $G = \pi_1(M \setminus \nu(K), *)$ by G_q ?

Is there a version of this linking data for knots or links in other 3-manifolds?

Question:

For a knot or link $L \subset M$ where M is an oriented 3-manifold, can we similarly extract concordance data from quotients of $G = \pi_1(M \setminus \nu(K), *)$ by G_q ?

Previous results:

- (D. Miller '95) Defined Milnor's invariants for knots homotopic to a singular fiber in a Seifert fiber space using covering spaces and combinatorial group theory.
- (Heck '11) Defined a homotopy-theoretic version of Milnor's invariants for knots in prime manifolds.

Is there a version of this linking data for knots or links in other 3-manifolds?

Question:

For a knot or link $L \subset M$ where M is an oriented 3-manifold, can we similarly extract concordance data from quotients of $G = \pi_1(M \setminus \nu(K), *)$ by G_q ?

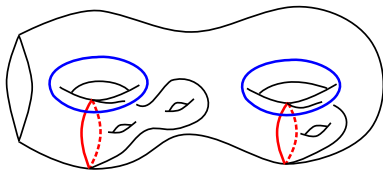
Previous results:

- (D. Miller '95) Defined Milnor's invariants for knots homotopic to a singular fiber in a Seifert fiber space using covering spaces and combinatorial group theory.
- (Heck '11) Defined a homotopy-theoretic version of Milnor's invariants for knots in prime manifolds.

Idea:

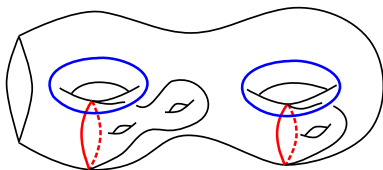
Exploit surfaces to define analogue of first non-vanishing $\bar{\mu}_L(I)$!

Realizing iterated commutators geometrically



A half grope of class 3.

Realizing iterated commutators geometrically



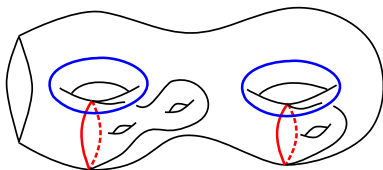
A half grope of class 3.

Definition

A class n half-grope is a 2-complex made of $n - 1$ layers of surfaces.

- 1 The first layer is an oriented surface Σ_2 .

Realizing iterated commutators geometrically



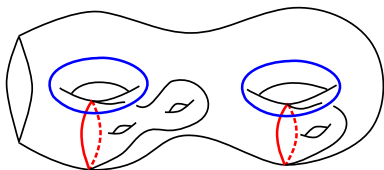
A half grope of class 3.

Definition

A class n half-grope is a 2-complex made of $n - 1$ layers of surfaces.

- 1 The first layer is an oriented surface Σ_2 .
- 2 Exactly half of the generators in a symplectic basis for $H_1(\Sigma_2)$ bound surfaces Σ_3^i where $1 \leq i \leq g(\Sigma_2)$.

Realizing iterated commutators geometrically



A half grope of class 3.

Definition

A class n half-grope is a 2-complex made of $n - 1$ layers of surfaces.

- 1 The first layer is an oriented surface Σ_2 .
- 2 Exactly half of the generators in a symplectic basis for $H_1(\Sigma_2)$ bound surfaces Σ_3^i where $1 \leq i \leq g(\Sigma_2)$.
- 3 For each i , exactly half of the generators in a symplectic basis for $H_1(\Sigma_3^i) \dots$

The Dwyer number of a knot $K \subset \#^l S^2 \times S^1$

Definition (Dwyer '75, reformulation by Cochran-Harvey '07)

For a space X , $\Phi_n(X) \subset H_2(X)$ is the subgroup generated by homology classes which can be represented by maps of surfaces which are the first layer of an $n + 1$ half-grope.

The Dwyer number of a knot $K \subset \#^l S^2 \times S^1$

Definition (Dwyer '75, reformulation by Cochran-Harvey '07)

For a space X , $\Phi_n(X) \subset H_2(X)$ is the subgroup generated by homology classes which can be represented by maps of surfaces which are the first layer of an $n + 1$ half-grope.

Definition (K.)

Let K be a null-homologous knot in $\#^l S^2 \times S^1$. The Dwyer number of K is

$$D(K) = \max \left\{ q \mid \frac{H_2(\#^l S^2 \times S^1 \setminus K)}{\Phi_q(\#^l S^2 \times S^1 \setminus K)} = 0 \right\}$$

.

Why would this be the right definition?

Why would this be the right definition?

Proposition (K.)

*If K is a null-homologous knot in $\#^l S^2 \times S^1$ with $G = \pi_1(\#^l S^2 \times S^1 \setminus K, *)$, then $D(K) = q$ if and only if G/G_k is isomorphic to F/F_k for $k < q$ and G/G_q is not isomorphic to F/F_q .*

Why would this be the right definition?

Proposition (K.)

*If K is a null-homologous knot in $\#^l S^2 \times S^1$ with $G = \pi_1(\#^l S^2 \times S^1 \setminus K, *)$, then $D(K) = q$ if and only if G/G_k is isomorphic to F/F_k for $k < q$ and G/G_q is not isomorphic to F/F_q .*

Theorem (K.)

If K is a null-homologous knot in $\#^l S^2 \times S^1$ then $D(K) \geq q$ if and only if the longitude of K lies in G_{q-1} .

Why would this be the right definition?

Proposition (K.)

*If K is a null-homologous knot in $\#^l S^2 \times S^1$ with $G = \pi_1(\#^l S^2 \times S^1 \setminus K, *)$, then $D(K) = q$ if and only if G/G_k is isomorphic to F/F_k for $k < q$ and G/G_q is not isomorphic to F/F_q .*

Theorem (K.)

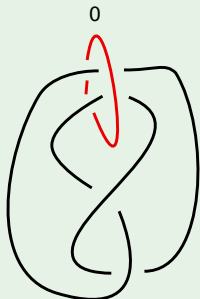
If K is a null-homologous knot in $\#^l S^2 \times S^1$ then $D(K) \geq q$ if and only if the longitude of K lies in G_{q-1} .

Theorem (K.)

$D(K)$ is an invariant of concordance in $(\#^l S^2 \times S^1) \times I$.

Properties of $D(K)$.

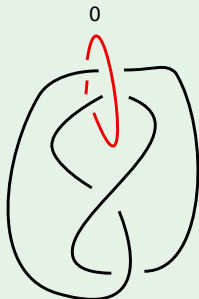
Example



A knot $K \subset S^1 \times S^2$ with $D(K) = 4$

Properties of $D(K)$.

Example

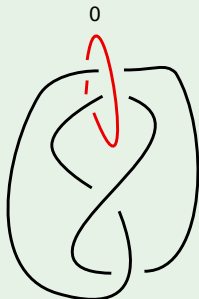


A knot $K \subset S^1 \times S^2$ with $D(K) = 4$

- If every homology class in $H_2(\#^l S^2 \times S^1 \setminus K)$ can be represented by a half-grope of arbitrary class, we say $D(K) = \infty$

Properties of $D(K)$.

Example

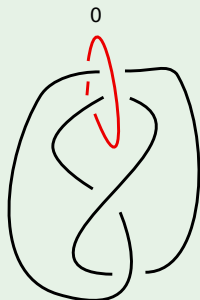


A knot $K \subset S^1 \times S^2$ with $D(K) = 4$

- If every homology class in $H_2(\#^l S^2 \times S^1 \setminus K)$ can be represented by a half-grope of arbitrary class, we say $D(K) = \infty$
- If K is the unknot, $D(K) = \infty$.

Properties of $D(K)$.

Example



A knot $K \subset S^1 \times S^2$ with $D(K) = 4$

- If every homology class in $H_2(\#^l S^2 \times S^1 \setminus K)$ can be represented by a half-grope of arbitrary class, we say $D(K) = \infty$
- If K is the unknot, $D(K) = \infty$.
- $3 \leq D(K) \leq \infty$.

$D(K)$ behaves like first non-vanishing $\bar{\mu}_L(I)$.

Theorem (K.)

*If K is a null-homologous knot in $\#^l S^2 \times S^1$ and $D(K) = q$, then the first non-vanishing Massey product in $H^1(\#^l S^2 \times S^1 \setminus K, *)$ is weight q .*

$D(K)$ behaves like first non-vanishing $\bar{\mu}_L(I)$.

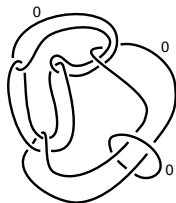
Theorem (K.)

*If K is a null-homologous knot in $\#^l S^2 \times S^1$ and $D(K) = q$, then the first non-vanishing Massey product in $H^1(\#^l S^2 \times S^1 \setminus K, *)$ is weight q .*

Theorem (K.)

There is an infinite family $\{M_l\}$ of null-homologous knots in $\#^l S^2 \times S^1$ which bound null-homologous disks in $\natural^l S^2 \times D^2$ and distinct in (stable) concordance.

What does this mean?



$$K_3 \subset \#^3 S^1 \times S^2 \text{ with } D(K) = 4$$

For knots in $K \subset \#^l S^2 \times S^1$,

concordance \implies slice in $\natural^l S^2 \times D^2$

slice in $\natural^l S^2 \times D^2 \not\implies$ concordance.

What linking data is preserved by knotification
 $L \rightsquigarrow \kappa(L)$?

What linking data is preserved by knotification

$L \rightsquigarrow \kappa(L)$?

Proposition (Ozsváth-Szabó '03)

For every oriented n -component link $L \subset S^3$ we can construct a knot $\kappa(L) \subset \#^{n-1}S^1 \times S^2$ which is unique up to diffeomorphism of $\#^{n-1}S^1 \times S^2$ throwing one knot onto another. We call $\kappa(L)$ the knotification of L .

What linking data is preserved by knotification

$L \rightsquigarrow \kappa(L)$?

Proposition (Ozsváth-Szabó '03)

For every oriented n -component link $L \subset S^3$ we can construct a knot $\kappa(L) \subset \#^{n-1}S^1 \times S^2$ which is unique up to diffeomorphism of $\#^{n-1}S^1 \times S^2$ throwing one knot onto another. We call $\kappa(L)$ the knotification of L .

Matthew Hedden and I used the previous theorem in order to motivate the definition of a concordance group of knotified links.

What linking data is preserved by knotification
 $L \rightsquigarrow \kappa(L)$?

Proposition (Ozsváth-Szabó '03)

For every oriented n -component link $L \subset S^3$ we can construct a knot $\kappa(L) \subset \#^{n-1}S^1 \times S^2$ which is unique up to diffeomorphism of $\#^{n-1}S^1 \times S^2$ throwing one knot onto another. We call $\kappa(L)$ the knotification of L .

Matthew Hedden and I used the previous theorem in order to motivate the definition of a concordance group of knotified links.

Theorem (Hedden-K.)

If a $L \subset S^3$ is an n -component link with first non-vanishing $\bar{\mu}_L(I)$ invariant weight $rn + 1$, then $D(\kappa(L)) \geq r + 1$.

Future Goals

Future Goals

- Classify Dwyer number for knots and links in other 3-manifolds.

Future Goals

- Classify Dwyer number for knots and links in other 3-manifolds.
- Construct a version with rational coefficients to deal with knots in 3-manifolds with torsion.

Future Goals

- Classify Dwyer number for knots and links in other 3-manifolds.
- Construct a version with rational coefficients to deal with knots in 3-manifolds with torsion.
- Identify higher order linking data within the link Floer complex (Gorsky-Liu-Moore '18 recovered the Sato-Levine invariant $\bar{\mu}(1122)$ for 2-component links).

Future Goals

- Classify Dwyer number for knots and links in other 3-manifolds.
- Construct a version with rational coefficients to deal with knots in 3-manifolds with torsion.
- Identify higher order linking data within the link Floer complex (Gorsky-Liu-Moore '18 recovered the Sato-Levine invariant $\bar{\mu}(1122)$ for 2-component links).

Thank you!